

## Compliance check

A compliance check checks business contacts for prohibited business relationships using sanction lists.

According to the EU anti-terrorism regulations (EC 881/2002 and 2580/2001), every company is obliged to check its business contacts for prohibited business relations. Based on this commitment, the cross-application compliance check was developed, which establishes compatibility between ZODIAK and the used compliance check chain management platform of the Sapper Institute. The Sapper Institute provides the current boycott lists in connection with the test algorithms on a net server. DAKOSY's compliance check communicates with this system via a Web API. This interface also separates the responsibilities of the two service providers involved. The coordinated service level aims at continuous topicality in the sense of the legal requirements as well as a constant availability. The system-integrated compliance check is an optional, chargeable functional unit that must be ordered separately.

### Perform compliance check.

This chapter describes how to perform a compliance check.

1. Highlight the data record in your list.
2. Click in the area **Actions** on the menu item **Check Sanctionslist**.

The compliance check is performed. The window **Inspection Result** opens. If the compliance check was successful, the value **Inspection Result** appears in the column **OK**.

The screenshot shows a window titled "Sanktionslisten - Prüfergebnis" with a close button in the top right corner. The window contains two tables and a text field.

**Gesamtergebnis(se)**

Prüfstatus	Version	Typ	Zeitpunkt	Benutzer	Fehlercode	Fehlertext
OK	0	STANDARD	28.04.2015 10:39:51	...		

**Einzelergebnis(se)**

Prüfstatus	Name	Straße	Stadt	PLZ	Land
OK	...	...	...	...	...

Report-URL:

Buttons:

If you want to see a detailed report of the test, click the button **Open Report-URL**. A window opens in which the test results are displayed.

DY Global Edition (GE) [Close]

DENIED PARTIES: Real Time Service Report / TransactionID: 84621 ProfilID : 1

Zusammenfassung Matching Einstellungen

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Profilname	: RTL_TEST_01	Boykottlisten	: TEST
ProfilID	: 1	Boykottlist Version	: 40090
TransactionID	: 84621	Check Type	: alle Felder
Datum	: 28.04.2015 10:39	Match Type	: echte Treffer
Treffer insgesamt	: 0	Red Alert	: 68
Hochster Treffer in %	: 0		

% CLID	SRCID	Name	Strasse	Ort	PLZ	Staat	Land	Listen Datum	Details
[Redacted]									
[Redacted]									

[Close]